

IN THE UNITED STATES DISTRICT COURT
FOR THE EASTERN DISTRICT OF NORTH CAROLINA
WESTERN DIVISION
No. 5:23-cv-00095-BO

SVB FINANCIAL GROUP and SILICON)
VALLEY BANK)
)
Plaintiffs,)
)
v.)
)
FEDERAL INSURANCE COMPANY and)
BERKLEY REGIONAL INSURANCE)
COMPANY,)
)
Defendants.)

**DISCLOSURE OF CORPORATE AFFILIATIONS AND OTHER ENTITIES WITH A
DIRECT FINANCIAL INTEREST IN LITIGATION AND ATTRIBUTED CITIZENSHIP**

Pursuant to Fed. R. Civ. P. 7.1 and Local Civil Rule 7.3, or Fed. R. Crim. P. 12.4 and Local Criminal Rule 12.3,

Silicon Valley Bank _____ who is Plaintiff _____,
(name of party/intervenor) (plaintiff/defendant/other)

makes the following disclosure:

1. Is the party/intervenor a publicly held corporation or other publicly held entity?

YES NO

2. Does the party/intervenor have any parent corporations?

YES NO

If yes, identify all parent corporations, including grandparent and great-grandparent corporations:

- SVB Financial Group

3. Is 10% or more of the stock of the party/intervenor owned by a publicly held corporation or other publicly held entity?

YES NO

If yes, identify all such owners:

- SVB Financial Group

4. Is there any other publicly held corporation or other publicly held entity that has a direct financial interest in the outcome of the litigation (Local Civil Rule 7.3 or Local Criminal 12.3)?

YES

NO

5. Is the party/intervenor a trade association?

YES

NO

If yes, identify all members of the association, their parent corporations, and any publicly held companies that own 10% or more of a member's stock:

6. If the case arises out of a bankruptcy proceeding, identify any trustee and the members of any creditor's committee: Not applicable.

7. In a case based on diversity jurisdiction, the following is a list of every individual or entity whose citizenship is attributed to the party/intervenor:

Silicon Valley Bank
(Name of individual/entity)

California
(State of citizenship)

(Name of individual/entity)

(State of citizenship)

If there are additional individuals or entities whose citizenship is attributed to the party/intervenor, please provide their names and states of citizenship on a separate piece of paper.

Signature: /s/ Raymond Sheen

Date: March 9, 2023

CERTIFICATE OF SERVICE

I hereby certify that the foregoing **DISCLOSURE OF CORPORATE AFFILIATIONS AND OTHER ENTITIES WITH A DIRECT FINANCIAL INTEREST IN LITIGATION AND ATTRIBUTED CITIZENSHIP** has been filed electronically in CM/ECF as prescribed by the Court's electronic case filing procedure and is thereby being served electronically under Fed. R. Civ. P. 5 on all counsel of record, as listed below, on March 9, 2023:

Michael Keeley
J. Caralisa Connell
Clark Hill PLC
901 Main Street, Suite 6000
Dallas, TX 75202-3794
(214) 651-4300
(214) 651-4330 Fax
mkeelely@clarkhill.com
cconnell@clarkhill.com
Counsel for Defendant
Berkley Regional Insurance Company

Suzanne R. Walker
Gordon Rees Scully Mansukhani LLP
421 Fayetteville Street, Suite 330
Raleigh, NC 27601
(984) 242-1811
(919) 741-5840 Fax
swalker@grsm.com
Counsel for Defendant
Federal Insurance Company

Heather G. Connor
McAngus Goudelock & Courie
PO Box 30307
Charlotte, NC 28230
Heather.Connor@mgclaw.com
(704) 404-4664
(704) 643-2376 Fax
Local Civil Rule 83.1(d) Counsel for
Defendant Berkley Regional Insurance
Company

/s/ Raymond Sheen
Raymond Sheen